

ATTORNEY'S DOCKET NO.: S1168.70018US01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

James A. GRASFIELD and David E. WINSTON

Serial No.:

10/797,912

Filed:

March 10, 2004

For:

STETHOSCOPE COMMUNICATION AND REMOTE DIAGNOSIS SYSTEM

Examiner:

Unassigned

Art Unit:

2644

Confirmation No.: 3349

# **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 3, 2005. ilen Mackenge

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are the following documents:

Copy of Notice to File Missing Parts [X]

Letter Requesting Retention of Application [X]

Petition For A Five Month Extension of Time [X]

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$1,210.00 is enclosed to cover the \$1,080.00 extension of time fee and the \$130.00 retention fee. Please credit any overpayment or charge any deficiency in the enclosed fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

James A. GRASFIELD and David E. WINSTON

James H. Morris

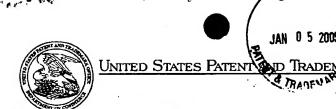
Registration No. 34,681

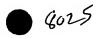
WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue Boston, MA 02210 Tel. (617) 720-3500 Attorneys for Applicants

Docket No.: S1168.70018US01

Dated: January 3, 2005





UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

rk Office

ATTORNEY DOCKET NUMBER

10/797,912

03/10/2004

James A. Grasfield

S1168.70018US01

CONFIRMATION NO. 3349 FORMALITIES LETTER

\*OC000000012831618\*

23628
WOLF GREENFIELD & SACKS, PC
FEDERAL RESERVE PLAZA
600 ATLANTIC AVENUE
BOSTON, MA 02210-2211

Date Mailed: 06/01/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 9-16.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

Additional claim fees of \$1460 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$2360 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$1460
  - \$344 for 4 independent claims over 3.
  - \$1116 for 62 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

MASANTOP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Eileen MacKenzie

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### LETTER REQUESTING RETENTION OF THE APPLICATION

In response to the Notice to File Missing Parts mailed June 1, 2004, Applicants submit the processing and retention fee of \$130.00 pursuant to 37 CFR §1.21(1). Please retain the file and maintain this application in the records of the Patent and Trademark Office. By submitting this processing and retention fee, Applicants intend to preserve their rights to claim the benefit of the filing date of the above-identified U.S. patent application pursuant to 35 U.S.C. §120 and 37 CFR §1.78(a)(1)(iv).

Please credit any overpayment or charge any deficiency in the enclosed fees to Deposit Account No. 23/2825.

Respectfully submitted,

James A. GRASFIELD and David E. WINSTON

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01 FC:8025

130.00 OP

James H. Morris, Registration No. 34,681

WOLF, GREENFIELD & SACKS, P.C.

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Attorneys for the Applicants

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Attorney's Docket No.: S1168.70018US01

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